CSE P503: Principles of Software Engineering

David Notkin Autumn 2007 Miscellaneous & Mining Software Repositories

Experience is that which enables us to recognize our mistakes when we make them again. --AM51, 1973

[A]ny fool can make history, but it takes a genius to write it. --Oscar Wilde

Technology is dominated by two types of people: those who understand what they do not manage, and those who manage what they do not understand. --Putt's Law



- Some left over material (not all, but some), all quickly
 - Restructuring and star diagrams
 - SeeSoft
- Interludes
 - Education
 - Testing configurations?
- Mining Software Repositories

Restructuring

- Why don't people restructure as much as we'd like...?
 - Doesn't make money now
 - Introduces new bugs
 - Decreases understanding
 - Political pressures
 - Who wants to do it?
 - Hard to predict lifetime costs & benefits

Griswold's 1st approach

- Griswold developed an approach to meaningpreserving restructuring
- Make a local change
 - The tool finds global, compensating changes that ensure that the meaning of the program is preserved
 - What does it mean for two programs to have the same meaning?
 - If it cannot find these, it aborts the local change

Simple example

 Swap order of formal parameters

```
procedure push(s, v)
    insert(v, s.head)
    return s
end
.
.
.
push(myStack,1)
.
.
```

push(myStack,h(myStack))

- It's not a local change nor a syntactic change
- It requires semantic knowledge about the programming language
- Griswold uses a variant of the sequence-congruence theorem [Yang] for equivalence
 - Based on PDGs (program dependence graphs)

lt's an O(1) tool

Limited power

- The actual tool and approach has limited power
- Too limited to be useful in practice
 - PDGs are limiting
 - Big and expensive to manipulate
 - Difficult to handle in the face of multiple files, etc.
- May encourage systematic restructuring in some cases

Star diagrams [Griswold et al.]

- Meaning-preserving restructuring isn't going to work on a large scale
- But sometimes significant restructuring is still desirable
- Instead provide a tool (star diagrams) to
 - record restructuring plans
 - hide unnecessary details
- Some modest studies on programs of 20-70KLOC

A star diagram

● /home/arnold/bulk/Restructure/Tool/example.s												
Picasso	File	Undo	Searc	h Views	Optio	ins Ex	perimental					
						, I	Idle					
<pre>((= lineno numlines) nil) (set! numwords (length (list-ref *line-storage* lineno))) (de ((wordno 0 (1+ wordno))) ((= wordno numwords) nil) (vector-set! *circ-index* cslineno (list lineno wordno)) (set! cslineno (1+ cslineno))))))) </pre>												
Star diagram for variable *LINE-STURAGE* Window								Options		년 Help		
Remo	ve	Extract Function Inline Function		Extract	Parameter	Inline Paramet	er Rename function	Turn into call	Move into Interface			
set![]*if*[]* get![]*if*[]* get![]*										cons set! revcs [] reverse fn def: csline		

Interpreting a star diagram

- The root (far left) represents all the instances of the variable to be encapsulated
- The children of a node represent the operations and declarations directly referencing that variable
- Stacked nodes indicate that two or more pieces of code correspond to (perhaps) the same computation
- The children in the last level (parallelograms) represent the functions that contain these computations

After some changes



Evaluation

- Compared small teams of programmers on small programs
 - Used a variety of techniques, including videotape
 - Compared to vi/grep/etc.
- Nothing conclusive, but some interesting observations including
 - The teams with standard tools adopted more complicated strategies for handling completeness and consistency



- Star diagrams may not be "the" answer
- But I like the idea that they encourage people
 - To think clearly about a maintenance task, reducing the chances of an ad hoc approach
 - They help track mundane aspects of the task, freeing the programmer to work on more complex issues
 - To focus on the source code
- Murphy/Kersten and Mylyn and tasktop.com are of the same flavor....

SeeSoft: Eick et al.

- Visualize text files by
 - mapping each line into a thin row
 - colored according to a statistic of interest
- Focus on source code, with sample statistics including
 - age, programmer, or functionality of each line
 - Data extracted from version control systems, static analysis and profiling
- User can manipulate this representation to find interesting patterns in software
- Applications include data discovery, project management, code tuning and analysis of development methodologies

Code age: newest code in red, oldest in blue



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Execution profile:

red shows hot spots, non-executed lines are gray/black



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- SeeSoft seems excellent for building important, qualitative understanding of some aspects of source code
- It also links in effectively with the underlying source code
- It is flexible in terms of what statistics are viewed
 - It's not entirely clear how much work is needed to add a new statistic

Interlude: education

- OK, what should (should not) go in an undergraduate education leading to jobs like yours?
- A couple of rules
 - It's a zero-sum game (something goes in, something comes out)
 - You cannot assume every student is precisely like yourself

A relatively new, "hot" approach: Mining Software Repositories

- "Research is now proceeding to uncover the ways in which mining [software] repositories can help to understand software development, to support predictions about software development, and to plan various aspects of software projects." [MSR 2007 web page]
- Repositories are broadly defined to include code, defect databases, version control information, programmer communications, etc.

Note: distinct from in-field testing

- ...gathering data from actual usage in the field that can be used to improve the product
- ...more in a later lecture

What has enabled this approach?

- The Internet
- Open source
- More repositories
- More complex repositories
- Fast/cheap processors
- Big/cheap memories
- Big/cheap disks
- Data mining/machine learning results
- New analyses
- ...and surely more

Underlying premise

- We believe there is something actually, a lot of things – that can be learned from studying these repositories
- But it presents a paradox if we think most software is low quality, how can we learn by studying the repositories?

Four ways to resolve this paradox

- The premise is false most (or even all) software is good
- We can learn about good practices from bad software
- We can distinguish good from bad software and only study the good ones
- Mining software repositories cannot succeed

A pertinent tangent: science vs. engineering

- Science focuses on learning about the structure and behavior of the real world
- Engineering focuses on designing useful things
- "Computer science" as a research field tends to do both in an unusually intermingled way
 - At times, the distinction is still instructive

Mining software repositories: science, engineering, both?

- Mining software repositories is largely a scientific venture, albeit it with respect to human-engineered artifacts
- That is, software is a part of our reality, and there is enough of it to study it
- There is no question (to me) that this is valuable and that we can learn a lot from this
 - Belady and Lehman showed this, among others statistical results that deepened our understanding about the relationships among users, program change and program structure

An important question remains

- Can we learn things that can then be used to improve the engineering side?
 - That is, can we learn specific, concrete things that lead to better software, better software practices, better software tools, better ...?
- Unless we can provide useful feedback to the engineering side, I believe the long-term value of mining software repositories will be limited
 - Belady and Lehman's work has not, overall, let us to "better" software, but rather to a better understanding of software
 - Although I am largely uneducated in software metrics, I believe that this is also a limitation of that approach

Mining software repositories vs. reverse engineering etc.

- In reverse engineering, reengineering, program comprehension, etc. approaches, the information from a given software system is used to help software engineers improve that system
- Mining software repositories feedback must provide information that is more broadly applicable – probably not to all software systems, but to some (many) that have not been analyzed

Field of Dreams: "If you build it, they will come"

 Separate reality from fantasy – just mining software repositories will not by itself cause significant advances in software engineering

Four ways to resolve this paradox

- 1. The premise is false most (or even all) software is good
- 2. We can learn about good practices from bad software
- 3. We can distinguish good from bad software and only study the good ones
- 4. Mining software repositories cannot succeed



Two ways to resolve the paradox

- The premise is false most (or even all) software is good
- We can learn about good practices from bad software
- We can distinguish good from bad software and only study the good ones
- MSR cannot succeed

Programming language design

- Many of the advances come from observations that distinguish "good" programs from "bad" ones
- Classic examples include control constructs, abstract data types, ...
- A related, but non-language example is design patterns
- These advances come from studying the "good" programs, not the "bad" ones

Change support: largely ad hoc

- In contrast, support for software change has been much less disciplined
- Relatively little has been done to make it easier to make good changes and harder to make bad changes
- We are seeing some movement in making this more systematic: refactoring is perhaps the clearest example

Unjustified claim

- The key to the (engineering) success of mining software repositories is identifying "good" changes in a specific and concrete way
- This appears to be harder than improving languages, for several reasons
 - Doing it automatically is almost surely harder
 - Looking at change is harder than looking at programs, at least at present
 - Even with success, we have fewer ways to encode "good" changes than "good" programs, at least at present



The way to resolve the paradox

- The premise is false most (or even all) software is good
- We can learn about good practices from bad software
- We can distinguish good from bad software and only study the good ones ... and hope we can learn from them!
- MSR cannot succeed

Change: Now passing the assembly language phase

- In general, software changes are applied by stringing together a set of low-level operations (keystrokes, macros, operations, etc.)
- Just as people saw useful patterns in assembly language – leading to, for example, high-level control constructs – we are beginning to see analogous patterns in change
 - refactoring
 - simultaneous text editing/linked editing
 - co-evolving entitites

This positions us

- ...to move from low-level and statistical models of change to a higher-level, specific and concrete model of change
- A key piece of this shift to a higher-level model is making change a first-class notion

Co-evolution

- Ying et al. (and several others)
 - "To augment existing analyses and to help developers identify relevant source code during a modification task, we have developed an approach that applies data mining techniques to determine change patterns -- sets of files that were changed together frequently in the past -- from the change history of the code base. Our hypothesis is that the change patterns can be used to recommend potentially relevant source code to a developer performing a modification task."
- Or, "other people who changed this file were also interested in the following files"

Team Tracks: DeLine et al. Microsoft Research

- Team Tracks guides code exploration
 - Records the team's code navigation during development
 - Mines that data to prune the working set and guide navigation
- Does navigation frequency indicate importance?
 - An empirical study suggests "yes"
- Does Team Tracks help with task completion rates?
 - An empirical study suggests "yes"

Other topics (discussed at MSR 2005 etc.)

- Approaches to study the quality of the mined data along with guidelines to ensure the quality of the recovered data
- Proposals for exchange formats, meta-models, and infrastructure tools to facilitate the sharing of extracted data and to encourage reuse and repeatability
- Models for social and development processes that occurin large software development projects
- Search techniques to assist developers in finding suitable components for reuse
- Techniques to model reliability and defect occurrences
- Analysis of change patterns to assist in future development
- Case studies on extracting data from repositories of large long lived projects
- Suggestions for benchmarks, consisting of large software repositories, to be shared among the community

Another example approach: Miryung Kim [UW]

- Represent change explicitly using first-order relational rules
- Infer change rules from pairs of program versions
- May enable new ways to understand software evolution and to support tools that aid in software evolution

Cross version matching



Limitation of existing matching approaches: hard to examine and to extract high-level change intent 11/13/2007

Change rule



For all x in (scope - exceptions)
 transform(x)

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Transformations

(Above the Level of Method Header)

- packageReplace(x:Entity, fr:Text, t:Text)
- classReplace(x:Entity, fr:Text, t:Text)
- procedureReplace(x:Entity, fr:Text, t:Text)
- returnReplace(x:Entity, fr:Text, t:Text)
- inputSignatureReplace(x:Entity, fr:List[Text], t:List[Text])
- argReplace(x:Entity, fr:Text, t:Text)
- argAppend(x:Entity, t:List[Text])
- argDelete(x:Entity, t:Text)
- typeReplace(x:Entity, fr:Text, t:Text)

Example change rule



```
For all x
in Factory.create*Chart(*)
except {Factory.createPieChart()}
argAppend(x, [int])
```

14 matches and 1 exception

Initial results



Percentage of rules found after each iteration of the total number of rules

44

Top 20% of the rules find over 55% of the matches Top 40% of the rules find over 70% of the matches

An initial step...

- ...in making change a first class notion
- Many other choices of
 - transformations
 - inference algorithms
 - rule representations
 - ...
- Haven't yet showed benefit of rules to drive applications: documentation assistant, bug finding, API evolution analysis, API update, ...

Can it help with mining software repositories?

- Maybe
- In particular, it may be the more effective rules that provide insight and potential for representing higherlevel changes

Discovering and Representing Logical Structure in Code [Kim, Beall, Notkin]

- Follow-up work to matching: logical structured delta (LSD)
- A significant gap between how programmers think about code change and how change is represented in widely used tools such as diff.
- LSD explicitly and concisely captures systematic changes to a program's dependency structure, along with an engine that automatically infers such changes as logic rules
- Each rule represents a set of atomic transformations that share similar structural characteristics: e.g., crosscutting concerns, refactorings, consistent updates of code, clones, etc.
- Initial evaluation on several open source projects shows that LSDs are orders of magnitude more concise than diff outputs

Sample rules: inferred

- added_type("AbsRegistry")
- current_inheritedmethod(m, "AbsRegistry", t)
 => added_inheritedmethod(m, "AbsRegistry", t)
- past_subtype("NameSvc", t) ^ past_field(f, "host", t)
 - => deleted_field(f, ``host", t) except t =
 ``LmiRegistry"
- past_subtype("NameSvc", t) ^ past_method(m, "getHost", t) => deleted_method(m, "host", t) except t = "LmiRegistry"...
- host related fields and methods are pulled up from NameSvc's subclasses to AbsRegistry class except from LmiRegistry.

Sample rules

- current_calls(m, "NamingExceptionHelper.create(Exception)" => added_calls(m, "NamingExceptionHelper.create(Exception)"
- past_calls (m, "JNDIRemoteSource.getResouce()")
 => deleted_calls(m, "Throwable.printStackTrace()") ...
- current_inheritedmethod(m, "AbsContext", t)
 => added_inheritedmethod(m, "AbsContext", t)
- past_method(m, mn, "JRMPContext") => deleted method(m, mn, "JRMPContext")
- All calls to NameExceptionHelper are newly added ones, and all methods that called getResource no longer call printStackTrace.
- Create AbsContext by extracting common methods from Context classes.

Conclusion

- There is no paradox
- Mining software repositories is promising
- We need to focus on change as an explicit, first-class notion ...
- Lots of opportunities, but with a focus on the engineering

Interlude: configuration testing

• How do you test and/or analyze software that runs in many different configurations?